

09-18-00

# SMITH, DANAMRAJ & YOUST

A PROFESSIONAL CORPORATION

ATTORNEYS AND COUNSELORS

INTELLECTUAL PROPERTY LAW AND RELATED MATTERS

12900 PRESTON ROAD, SUITE 1200, LB 15  
DALLAS, TEXAS 75230-1328

STEVEN W. SMITH  
SHREEN K. DANAMRAJ  
LAWRENCE R. YOUST

WRITER'S DIRECT DIAL NUMBER  
(972) 392-2696

TELEPHONE (972) 720-1202  
FAX (972) 720-1139

WRITER'S EMAIL ADDRESS  
[lawrence@sdylaw.com](mailto:lawrence@sdylaw.com)

September 15, 2000

**Via Express Mail No.: EL593939865US**

Box: New Applications  
Commissioner for Patents  
Washington, D.C. 20231

Re: Method and System for Executing Trades in a User Preferred Security  
Attorney Docket No.: 1302-1001

Dear Sir:

Enclosed for filing please find the following items relating to the above-identified application:

- (1) Original Patent Application with Formal Drawings;
- (2) Declaration and Power of Attorney;
- (3) Assignment with Cover Sheet;
- (4) Fee Calculation Cover Sheet;
- (5) Checks in the amount of \$1314.00 and \$40.00; and
- (6) Post Card.

Please file the above and return the date-stamped postcard to our office at the address listed above. In the meantime, if you have any questions or comments concerning this matter, please call the undersigned at your earliest convenience. Otherwise, please accept the enclosed.

Sincerely,



Lawrence R. Youst  
Reg. No. 38,795

Enclosures

PC 841  
09/15/00  
USPTO

09/15/00  
USPTO

09/15/00  
U.S. PTO  
100-3930

09/18-00

A

IN THE U.S. PATENT AND TRADEMARK OFFICE

Box: New Application  
Commissioner for Patents  
Washington, DC 20231

Sir:

Transmitted herewith for filing is the patent application entitled:

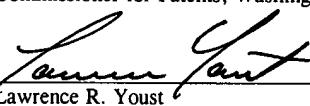
**Method and System for Executing Trades in a User Preferred Security**

Enclosed are:

Application with formal drawings;  
Signed Declaration and Power of Attorney;  
Assignment with Cover Sheet;  
Check in the amount of \$1170.00 and \$40.00 to cover the filing fees; and  
Post Card.

Attorney Docket No.: 1302-100P

"EXPRESS MAIL" mailing label number  
EL593939865US Date of Deposit: September 15, 2000. I  
certify that the accompanying Application is being deposited  
with the United States Postal Service "Express Mail Post  
Office to Addressee" service under 37 CFR 1.10 on the date  
indicated above and is addressed to Box New Application;  
Commissioner for Patents, Washington, DC 20231.

  
Lawrence R. Youst

| FEE CALCULATION    |        |        |              |          | FEE                    |
|--------------------|--------|--------|--------------|----------|------------------------|
|                    | NUMBER |        | NUMBER EXTRA | RATE     | BASIC FEE<br>\$ 690.00 |
| Total Claims       | 46     | - 20 = | 26           | x \$18=  | \$ 468.00              |
| Independent Claims | 5      | - 3 =  | 2            | x \$78 = | \$ 156.00              |
| TOTAL FEES         |        |        |              |          | \$ 1314.00             |

All correspondence related to this application may be addressed to the undersigned at Smith, Danamraj & Youst,  
P.C., 12900 Preston Road, Suite 1200, LB-15, Dallas, Texas 75230-1228.

Dated this 15th day of September, 2000

  
Lawrence R. Youst  
Attorney for Applicant(s)  
Reg. No. 38,795

09/15/00  
U.S. PTO  
100-3930